

A single-source print and e-delivery document solution that ensures compliance, consent, and cost savings.



***Intelligent Delivery
Services®***

- *Slash document delivery costs by 30-80%*
- *Implement e-delivery within 10 weeks*
- *Guarantee 10% (or more) e-consent in year one*



NEWRIVER

Documents | Data | E-Delivery



“Pick and Pack” is passé.

Today, many large firms are trying to implement “paper-off” initiatives to reap up to 80% savings off current operations. That’s good for investors who overwhelmingly prefer to receive financial information on the Web. And it satisfies regulators, shareholders and Boards of Directors who are increasingly looking to add more “green” to their business models.

NewRiver has a smart document delivery solution that saves trees and greenbacks while guaranteeing compliance and consent. We call it Intelligent Delivery Services® (IDS).

Whether you outsource print compliance document fulfillment or manage it in-house, IDS offers a flexible, dynamic web-based platform that can be integrated with your business rules and current print vendor. With IDS, you can migrate your operations toward cost-efficient electronic delivery while providing investor friendly compliance documents in print.

Key benefits include:

Print less, print smart and don’t print at all. You optimize print fulfillment with a blended solution that can combine digital POD, offset print, and e-delivery with the knowledge that every document is delivered compliantly and cost efficiently.

Guaranteed compliance and e-consent. NewRiver is the only company to guarantee that each document we deliver is compliant, and we are the only company to help you maximum savings with e-delivery and consent marketing while guaranteeing results – or your money back!

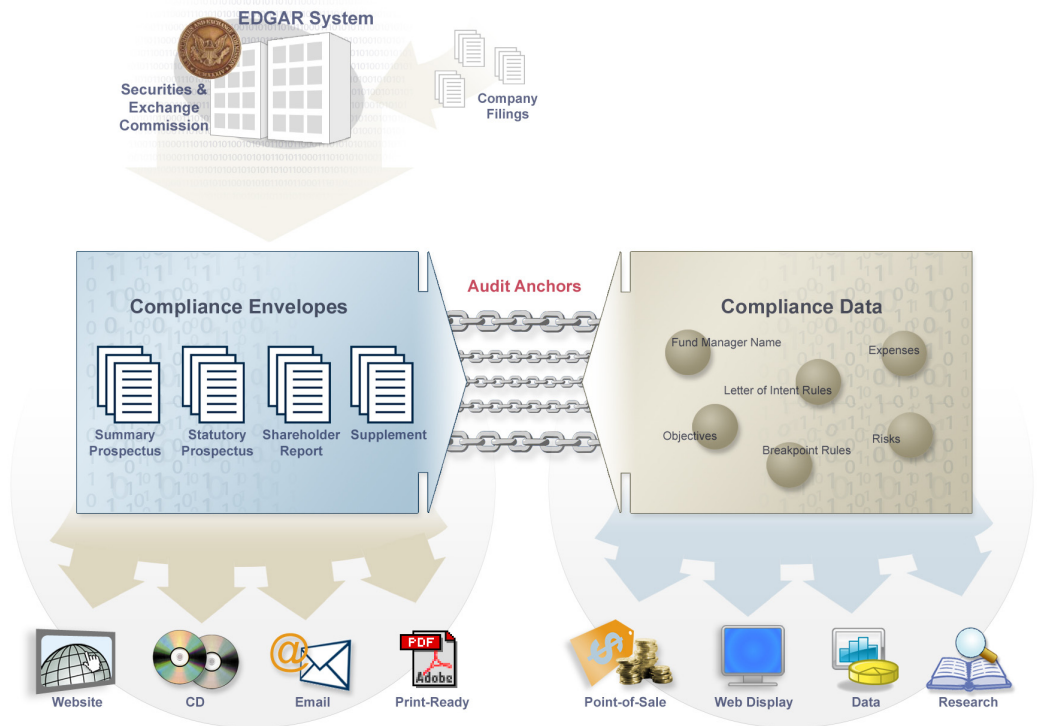
Be investor driven and lower costs. You hate the burden of mass mailing paper “big books” and your customers hate receiving them. Give your investors the option to choose electronic delivery and start lowering costs by driving them to your website.

“In 2007, we mailed 70 million shareholder reports ... if you add in our prospectuses, it was 18.2 million pounds printed in 13 plants. There were 362 tractor-trailer loads of paper. We killed 161,000 trees mailing people things that didn’t change very much, were repetitive, were not likely to be read and could have been up on the website.”

– Paul G. Haaga, Vice President,
Capital Research Management

The only patented document solution that’s EDGAR-sourced and updated daily.

EDGAR contains a dizzying array of form types, duplicate filings, and hard-to-find documents buried inside an enormous database containing over 2 million filings. Only NewRiver provides the capability to extract, organize and maintain information by fund CUSIP at the share class level – and only NewRiver provides the automated processes and human oversight to ensure QA on each and every document filing.



IDS delivers savings, service, and compliance in one platform.

Intelligent Delivery Services marries best practice compliance with significant costs savings and a better customer experience.

- Our blended solution of electronic delivery and investor-tailored, “portfolio-specific” printing can save you 60% off traditional “pick and pack” fulfillment while optimizing your document delivery options.
- Our combination of daily EDGAR sourcing, centralized QA processes, and database intelligence including suppression, householding and National Change of Address (NCOA) maintenance lets you marry quality compliance with cost savings.
- Our chargeback reports help you track and recoup printing and postage expenses from your fund partners to lower your costs even more.

A product set to match your channel needs.

Using ASP web-based technology, we adapt our solutions to fit your business needs and desired print approach. Our suite of services can be provided à la carte or bundled to deliver the level of cost savings, customer service, and compliance you demand.

The Intelligent Delivery Suite includes:

Prospectus Express – Your investors book their travel, pay their taxes and even buy their movie tickets online. Shouldn't they access prospectuses online too? Prospectus Express offers the most timely, accurate, and compliant documents to meet your pre-sale, point-of-sale and ongoing disclosure requirements electronically.

Total E-Consent – 95% of investors are already online but many firms suffer from low e-consent rates. Our Total E-Consent Solution – designed to help improve the adoption rate and cost savings associated with e-delivery – will help you implement e-communications in 10 weeks and guarantee at least 10% e-consent rates in year one.

Portfolio-Specific Printing – Personalize your investors' prospectuses and save paper and postage at the same time. Our digital print-on-demand, portfolio-specific approach ensures you deliver information that is only relevant to the investor – and nothing more! Plus, our solution is adaptable so you can work with your current printer or in-house facility.

Digital Direct – Send the latest prospectus on CD instead of paper and save postage and trees. Documents are always compliant as of the end of the prior business day because the URLs on the CD link to NewRiver's proprietary, daily updated database.

Virtual Document Warehouse – Never run out of stock again! Designed for firms who manage print in-house, our Virtual Document Warehouse keeps you in control of document management by providing a web-based library of print-ready PDFs available and updated daily. Private document hosting is also available.

While other service providers play “catch up,” NewRiver stays ahead of regulatory change. We can help you implement the summary prospectus on day one.

How your savings stack up.

SAVE UP TO 45 %

Portfolio-Specific, Digital Print-on-Demand
Save between 30-45% by printing smarter and being more investor friendly.

SAVE UP TO 60 %

Blended Solution – Print & Electronic
Save up to 60% as you migrate investors from print to electronic.

SAVE UP TO 80 %

Total E-Delivery + Consent
Save up to 80% – with savings increasing as your e-consents grow and you extend this service to all your investor communications.

Our modular solutions fit your document delivery approach and savings objectives.

With IDS you can adopt industry-best technology while realizing immediate savings, and continue to lower your costs in the years ahead. The more you increase your e-delivery volumes, the lower your costs and the greater your savings. And our solution is built to incorporate regulatory change like the summary prospectus – so you can keep your competitive edge rather than scramble to catch up.



Whether you're a brokerage firm, retirement plan administrator or variable annuity reseller, there's no smarter way to reduce costs, improve service and ensure compliance than with NewRiver's Intelligent Delivery Services. We deliver the most compliant documents in print, electronic or on CD quickly, cost-effectively and accurately. We are the only firm to guarantee that the registered fund prospectuses we deliver are "compliance grade."

Your obligation, our business.

NewRiver helps organizations "raise the bar" on investor disclosure and transaction quality with products that are focused on the critical challenge faced by financial services firms – how to achieve continuous improvement in a highly regulated industry. No other company unlocks the value of compliance filings for mutual fund resellers so efficiently and in so many ways as NewRiver's suite of products. Because you provide your organization and investors with information from the most authoritative source, the SEC's EDGAR database, you can improve sales and operations while reducing business risk. We've been helping 200 clients do this for over 13 years. Let us help you today.

Contact NewRiver

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